



28/96

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: January 16, 2004

Christine M. Spivey

PATENT 36856.463

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuichi TAKAMINE

Serial No.: 09/833,538

Filed: April 12, 2001

Title: LONGITUDINALLY COUPLED RESONATOR-TYPE SURFACE

ACOUSTIC WAVE FILTER

Art Unit: 2817

Examiner: B. Summons

## INFORMATION DISCLOSURE STATEMENT

Mail Stop DD Assistant Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of twelve (12) references cited in four Japanese Oppositions issued in a corresponding Japanese Patent Application. For the Examiner's convenience, we have enclosed a completed Form PTO-1449 and English abstracts where needed. Please note that applicant includes a copy of PCT reference No. WO 00/25423 (although this reference was previously cited by Examiner in Office Action on May 22, 2003) along with an English Abstract attached thereto because WO 00/25423 is related to the Japanese reference No. JP 2002-528987 submitted herewith. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

01/22/2004 SZEWDIE1 00000094 09833538

01 FC:1806

180.00 OP

U.S. Serial No. 09/833,588 P E John 20 2004
Page 2 of 2

Applicant certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application.

Applicant petitions the Commissioner to consider this information disclosure statement pursuant to C.F.R. § 1.197(d). Enclosed is a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee. If any additional fee is required, the Commissioner is authorized to charge Deposit Account No. 50-1353.

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Date: January 16, 2004

Respectfully submitted,

Attorneys for Applicant(s)

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030 (703) 385-5200

JAN 2 0 2004 Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

C	omplete if Known
Application Number	09/833,538
	April 12, 2001
Filing Date First Named Inventor	Yuichi TAKAMINE
	2817
Art Unit	B. Summons
Examiner Name	36856.463
Attorney Docket Number	0000

			U.S. PATEN	T DOCUMENTS  Name of Patentee or	Pages, Columns, Lines, Where
xaminer nitials*	Cite No.1	Document Number Number-Kind Code <sup>2(if known)</sup>	Publication Date MM -YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	-				
	+-				

Examiner	Cite	FO Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Le
Initials*	No.1	Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)	MM -YYYY		Figures Appear	X
	ļ.,	4-040705 —	2/1992	Japan		X
	1	5-335881	12/1993	Japan	<u> </u>	X
	2	6-204781	7/1994	Japan		X
	3		1/1995	Japan	<del></del>	$\frac{1}{x}$
	4	7-030366 —	11/1995	Japan		X
	5	7-307641 -	4/1998	Japan		- X
	6	10-093388 —	11/1999	Japan		$-\frac{x}{x}$
	7	11-317642	1/1989	Japan		$\frac{\lambda}{x}$
	8	64-019815 -	9/2002	Japan		_+-
	9	2002-528987	10/1993	Germany		<u>  x</u>
	10	DE 42 12 517 C2	05/2000	WIPO		
	11	WO 00/25423	03/2000	_		
	_					

	Date	
Examiner	 Considered	
Signature		

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered, include copy of this form with next communication to applicant.

1 Applicant unique citation designation number (optional). 2 See Kind Codes of USPTO Patent documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter <sup>3</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to This collection of information is required by 37 CFR 1.97 and 1.98. The information by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

PTO/SB/08A (04-03)

Substitute for form 1449/PT0

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

1 2

Examiner Signature

		1
	omplete if Known	
Application Number	09/833,538	
Filing Date	April 12, 2001	
First Named Inventor	Yuichi TAKAMINE	
	2817	
Art Unit	B. Summons	
Examiner Name	36856.463	
Attorney Docket Number	30030.403	

		NON PATENT LITERATURE DOCUMENTS  NON PATENT LITERATURE DOCUMENTS  (when appropriate), title	1
		NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title	T <sup>2</sup>
aminer	Cite	Include name of the author (in over 1) serial symposium, catalog, etc.), date, page(s), volume-	'-
itials	No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	ļ
		issue number(s), publisher, city and/or country where published.  RONALD C. ROSENFELD ET AL.: "ANALYSIS OF ASYMETRIC THREE-	X
	12	RONALD C. ROSENFELD ET AL.: "ANALYSIS OF A SONICS SYMPOSIUM	
	1 1 2	TDANSDICER CONFIGURATION, 1970 CELEBRATION	
	1	PROCEEDINGS; PAGES 682-685	+
		PROCEEDINGS	1
			1
	}		
	+		ł
	l l		ļ
			1
	1		1
	ļ		i i
			1
			1
			1
	l		
	ł		1
			·
			ļ
	1		
	1		
			ł
l	1		1
	- 1		l
1			1
-	-		
		Date	
Exam	iner	Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

and not considered, include copy of this form with next communication to applicant.

Applicant unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.